

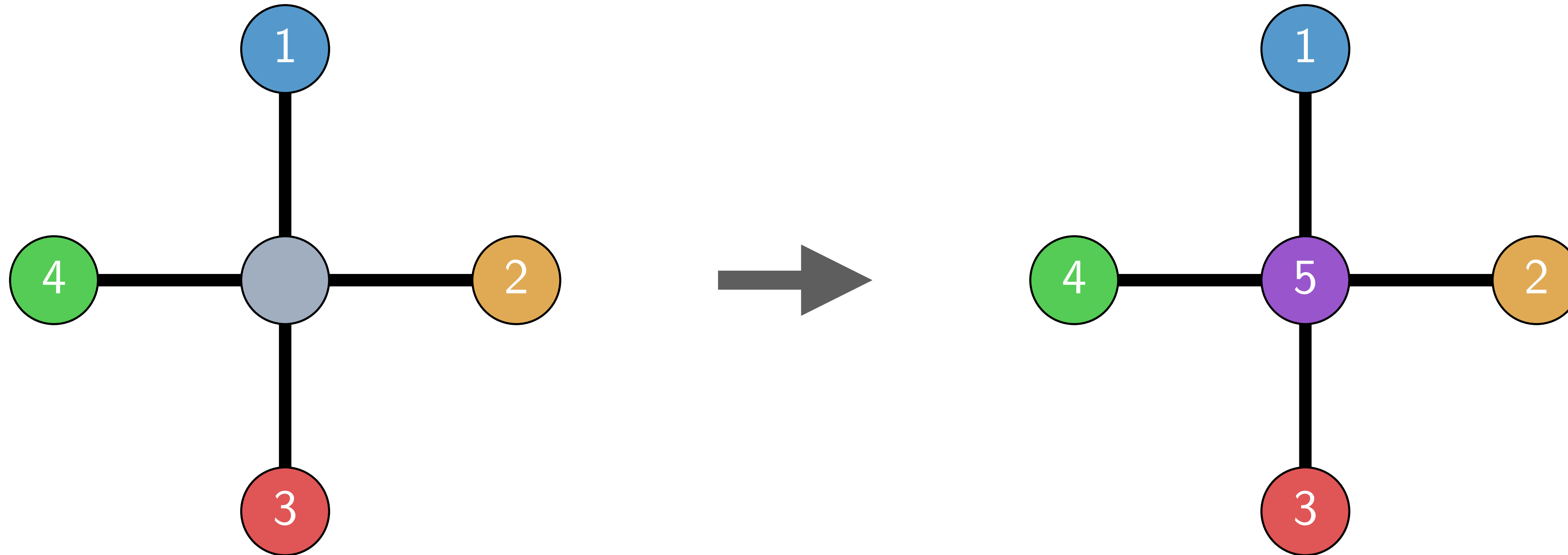
# Reconfiguration of Independent Transversals

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## Fact

For any graph  $G$  we have  $\chi(G) \leq \Delta(G) + 1$ .



## Question

For  $q \geq \Delta + 1$ , how can we sample a random proper  $q$ -coloring **uniformly** at random?

Input: A graph  $G$  and an integer  $q \geq \Delta(G) + 1$ .

Output: A proper  $q$ -coloring generated (close to) **uniformly** at random.

## Glauber Dynamics

We generate a list of random proper  $q$ -colorings  $X_0, X_1, X_2, \dots$

(Randomly) generate a proper  $q$ -coloring  $X_0$ .

**For**  $t = 1, \dots, N$ :

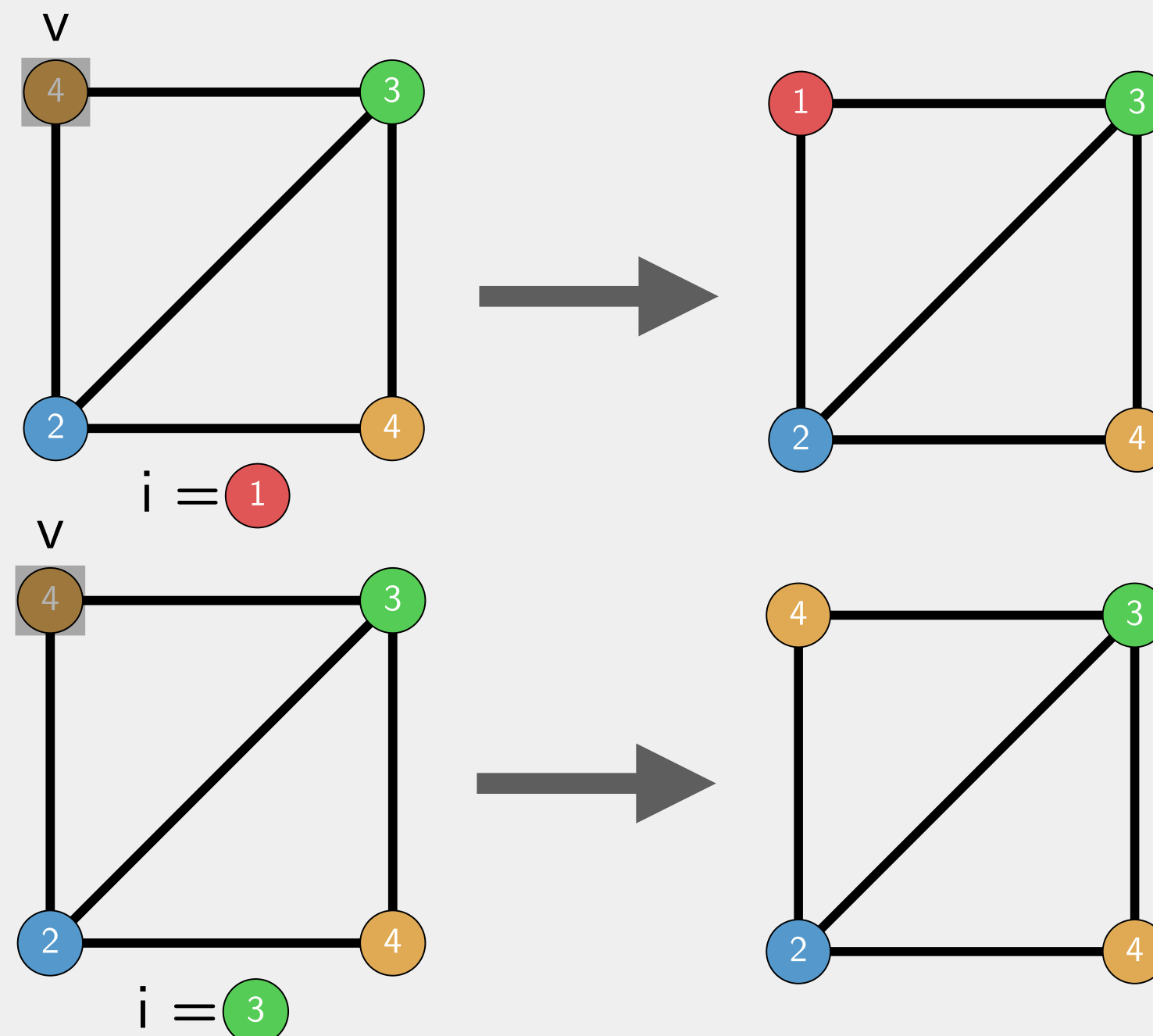
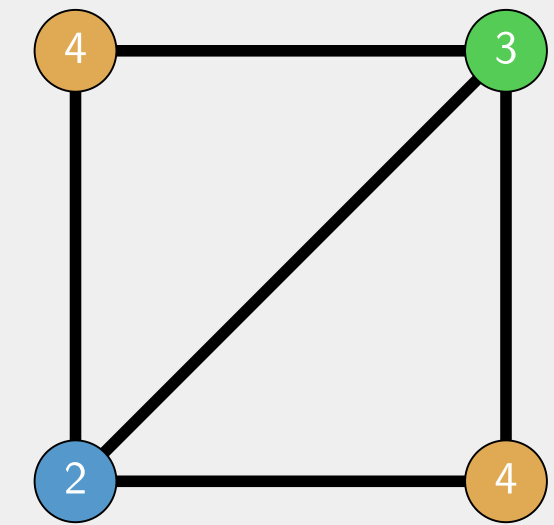
Uniformly at random pick vertex  $v$  and color  $i \in [q]$ .

**If**  $v$  in  $X_{t-1}$  can be recolored to  $i$ :

Let  $X_t$  be this recoloring.

**Else:**

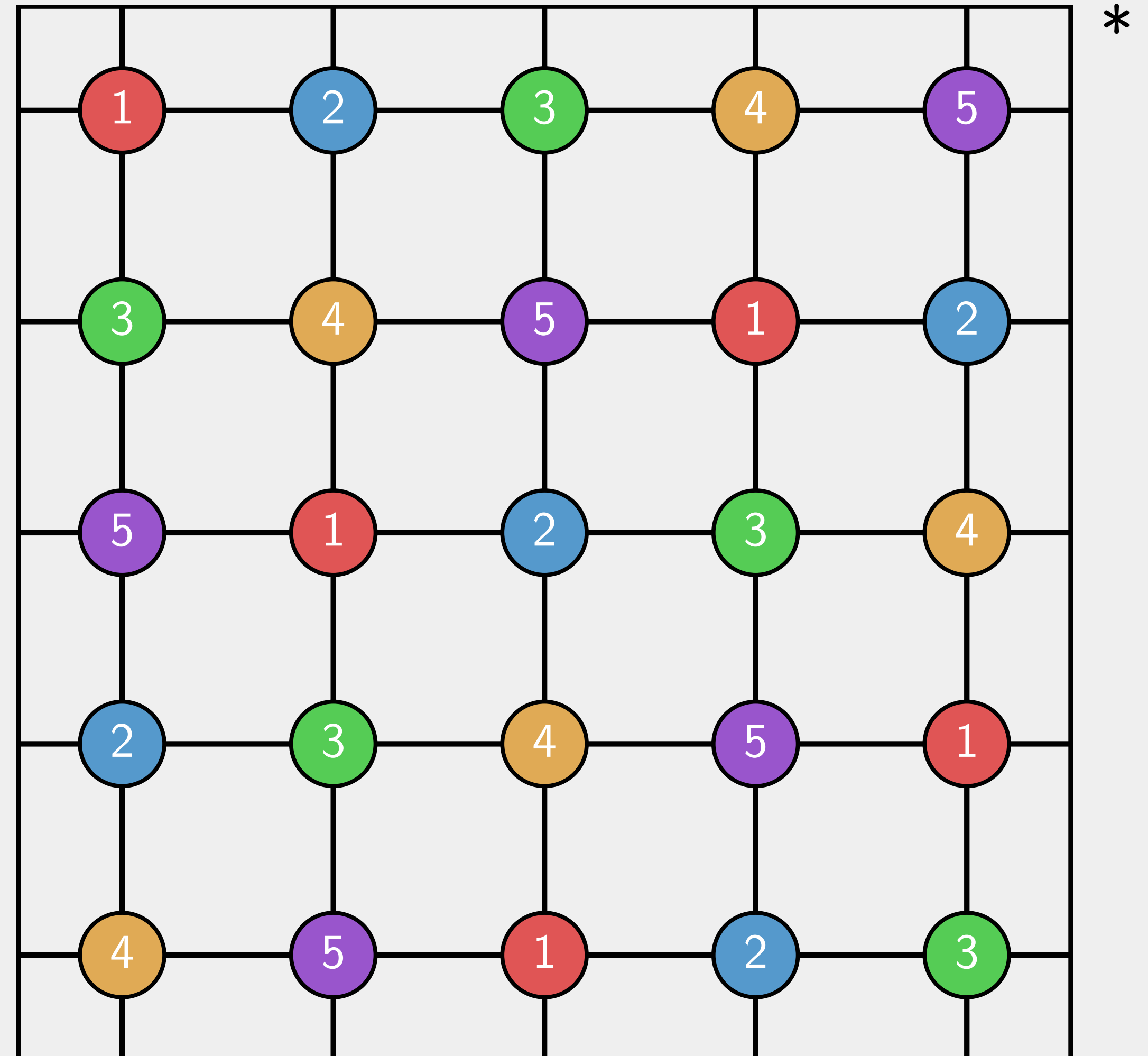
Let  $X_t = X_{t-1}$ .



# Observations on Glauber Dynamics

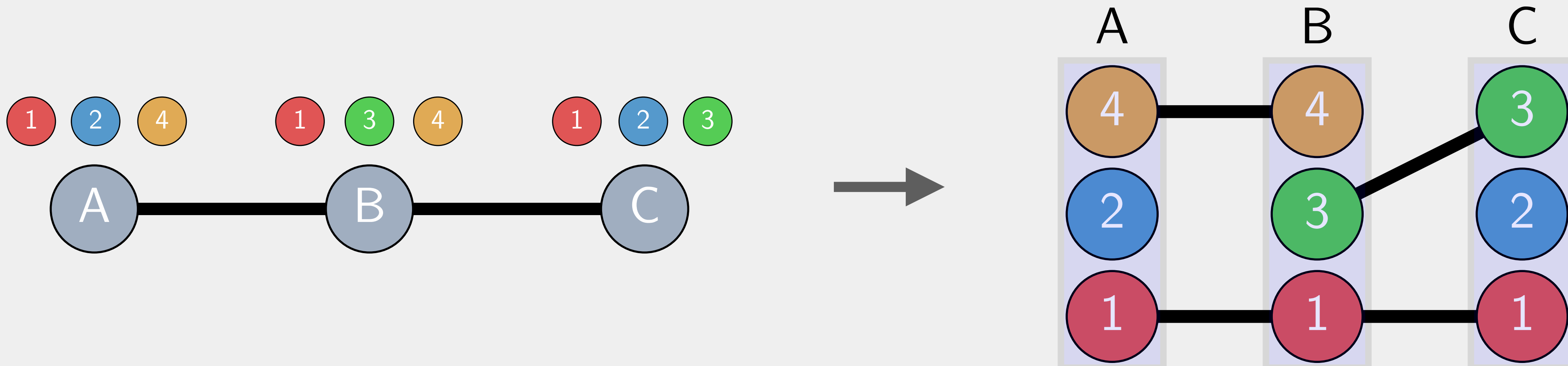
- It is a **Markov chain**.
- It is **symmetric**, i.e.  $\mathbb{P}(\pi_1, \pi_2) = \mathbb{P}(\pi_2, \pi_1)$  for all colorings  $\pi_1, \pi_2$ .
- It is **aperiodic** because  $\mathbb{P}(\pi, \pi) > 0$ .
- It is **irreducible** if  $q \geq \Delta + 2$ .

It follows that the Markov chain is **ergodic** and that  $X_t$  converges to the uniform stationary distribution.

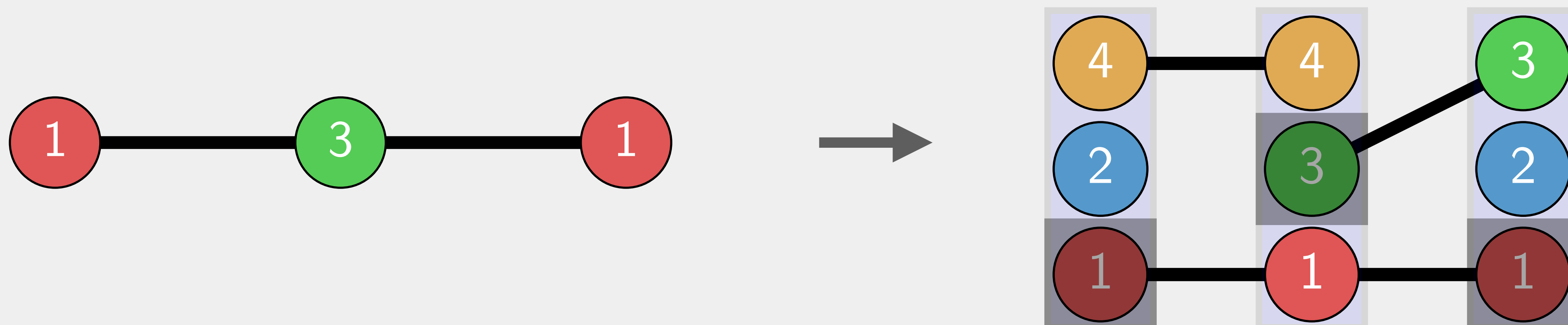


# List-Coloring to Independent Transversals

Given a graph  $H$  and list assignments  $L : V(H) \rightarrow \mathcal{P}(\mathbb{N})$  we define  $G_{H,L}$  as follows.



Proper  $L$ -colorings of  $H$  correspond to **independent transversals** of  $G_{H,L}$ .



An **independent transversal** of a pair  $(G, \mathcal{U})$ , consisting of a graph  $G$  and a partition  $\mathcal{U}$  of  $V(G)$ , is an independent set  $S$  of  $G$  for which  $|S \cap U| = 1$  for all  $U \in \mathcal{U}$ .

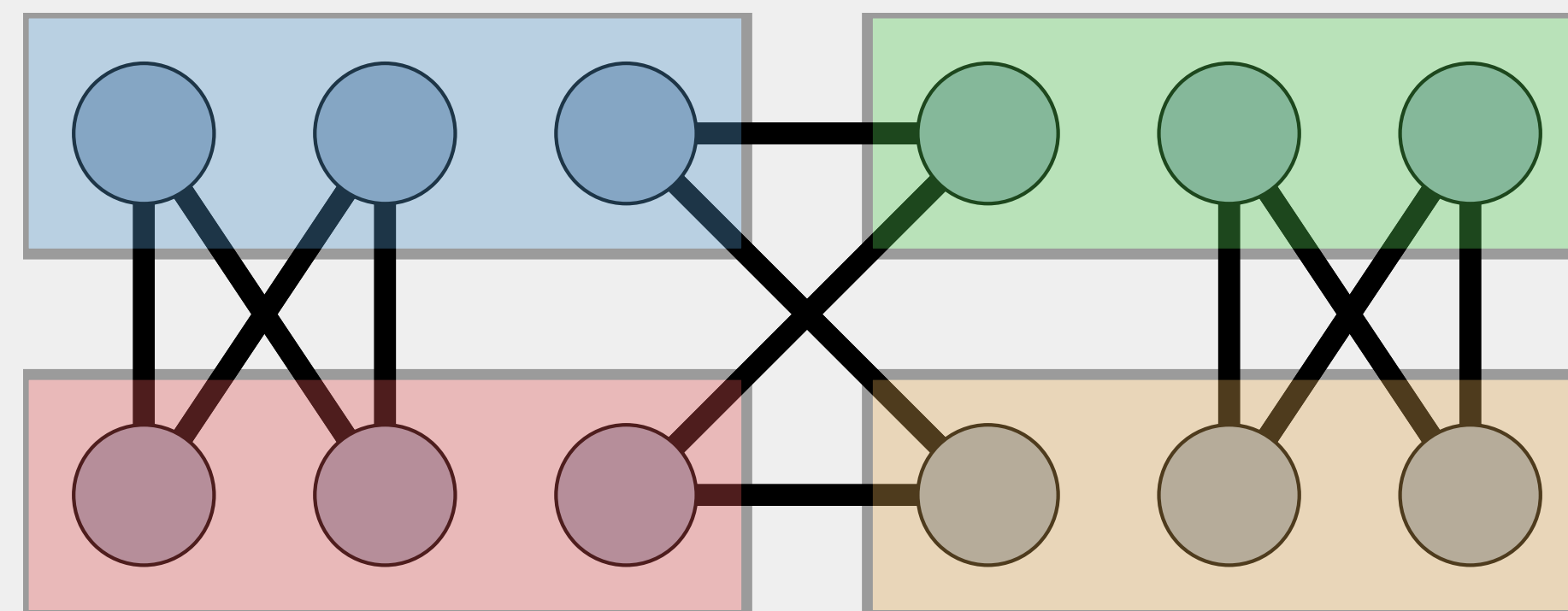
The partition  $\mathcal{U}$  is said to be  **$t$ -thick** if  $|U| \geq t$  for all  $U \in \mathcal{U}$ .

### Theorem (Haxell; 1995)

Let  $G$  be a graph of max degree  $\Delta$  and  $\mathcal{U}$  a  **$2\Delta$ -thick** vertex partition. Then there **exists** an independent transversal  $(G, \mathcal{U})$ .

### Theorem (Szabó, Tardos; 2006)

For any  $\Delta \geq 1$  there exists a graph  $G$  of max degree  $\Delta$  with a  **$(2\Delta - 1)$ -thick** vertex partition  $\mathcal{U}$  such that  $(G, \mathcal{U})$  **has no** independent transversals.



Input: A graph  $G$  with  $2\Delta(G)$ -thick vertex partition  $\mathcal{U}$ .

Output: An independent transversal of  $(G, \mathcal{U})$  generated (close to) **uniformly** random.

## Glauber Dynamics

We generate a list of random independent transversals  $S_0, S_1, S_2, \dots$

(Randomly) generate an independent transversal  $S_0$ .

For  $t = 1, \dots, N$ :

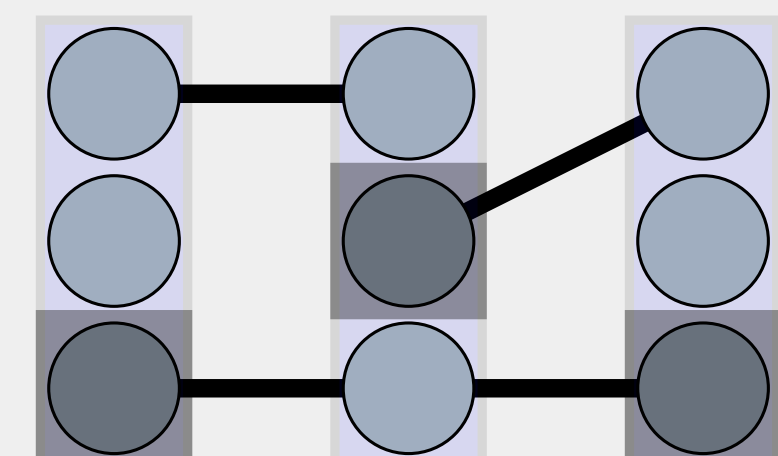
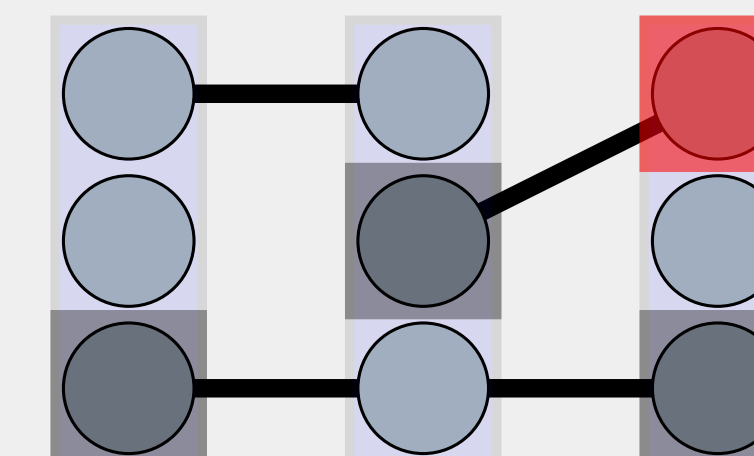
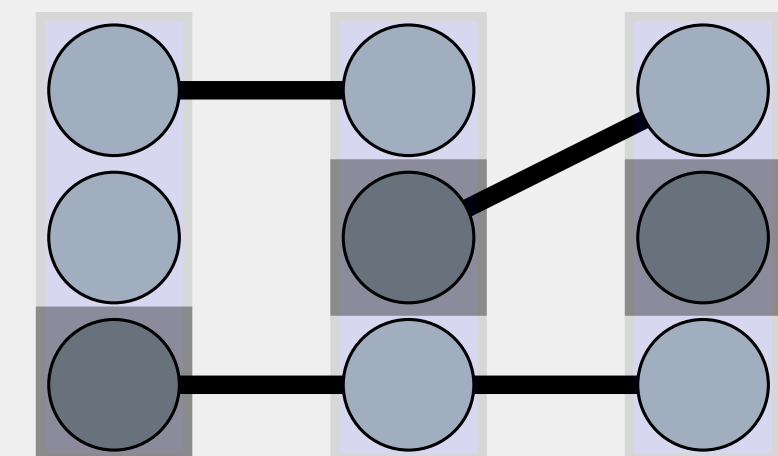
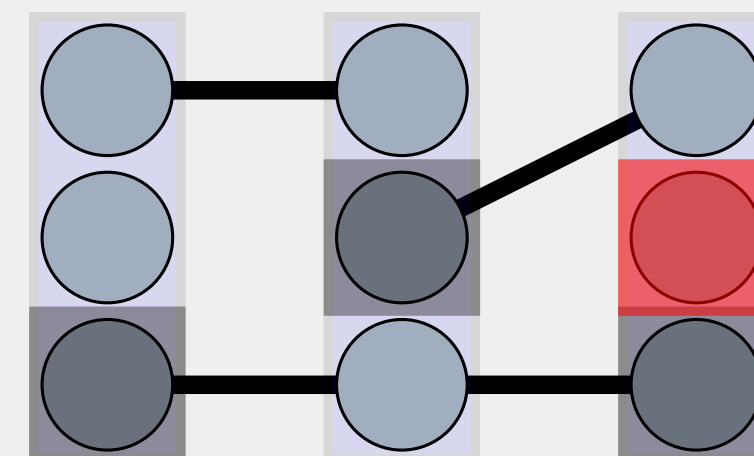
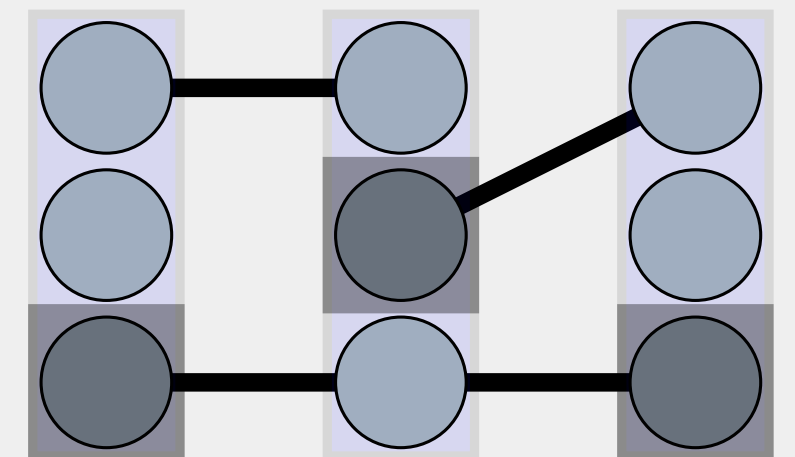
Uniformly at random pick vertex  $v$ .

If  $v$  is independent of  $S_{t-1}$ :

Let  $S_t$  be  $S_{t-1}$  with  $v$  replaced.

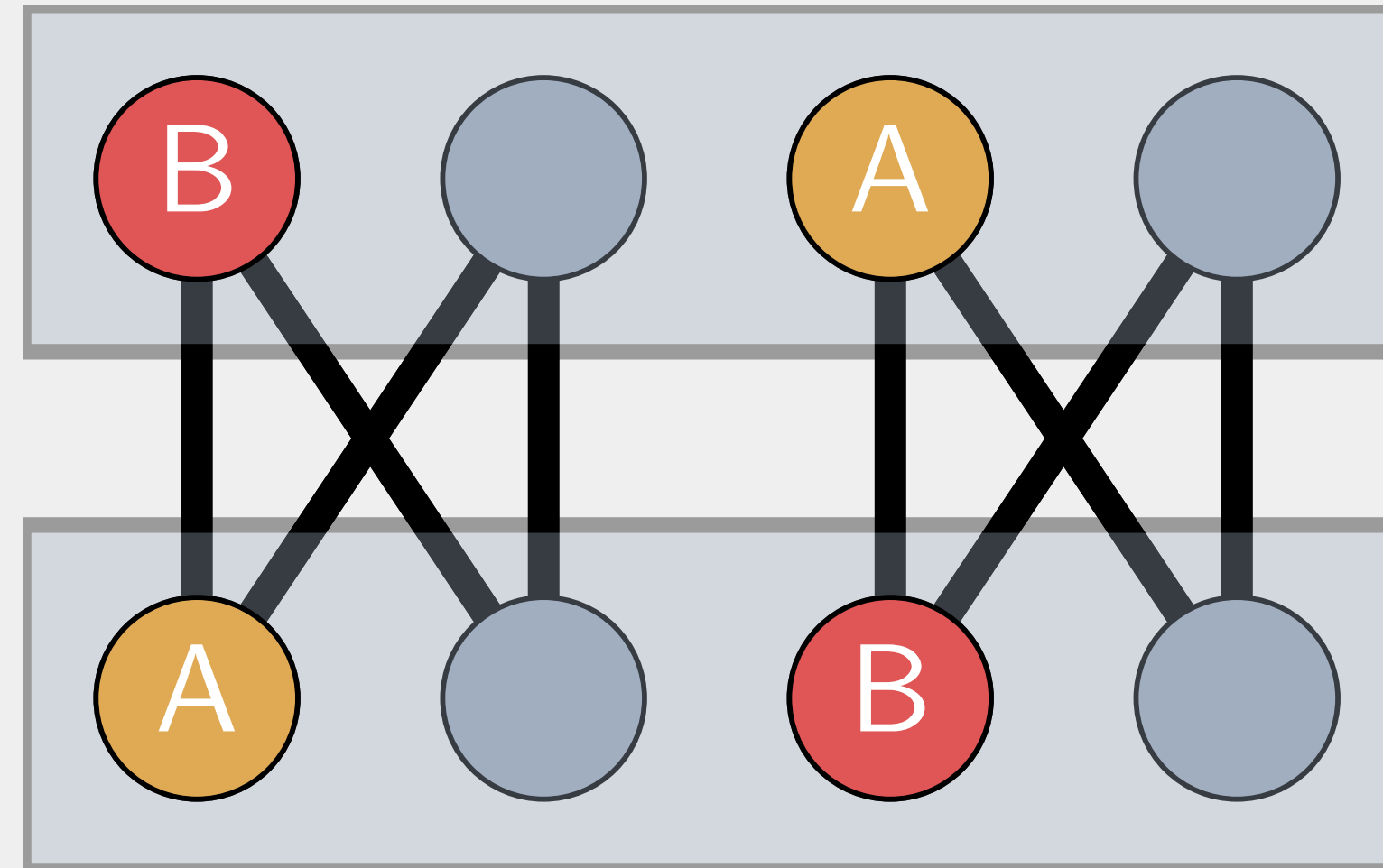
Else:

Let  $S_t = S_{t-1}$ .



Glauber dynamics of independent transversals is **symmetric** and **aperiodic**.

It is not necessarily **irreducible**.



Given a pair  $\mathcal{G} = (G, \mathcal{U})$  we define the **reconfigurability graph**  $R_{\mathcal{G}}$  with vertex set the set of independent transversals of  $\mathcal{G}$ , where  $S \sim T$  iff  $|S \cap T| = |\mathcal{U}| - 1$ .

Theorem (B., Kang, Ozeki; 2024)

Let  $\mathcal{G} = (G, \mathcal{U})$  consist of a graph  $G$  of max degree  $\Delta$  and  $\mathcal{U}$  a  **$2\Delta$ -thick** vertex partition. If  $\mathcal{G}$  is irreducible and  $G$  is not isomorphic to the disjoint union of  $|\mathcal{U}|$  copies of  $K_{\Delta, \Delta}$ , then  $R_{\mathcal{G}}$  is **connected**.

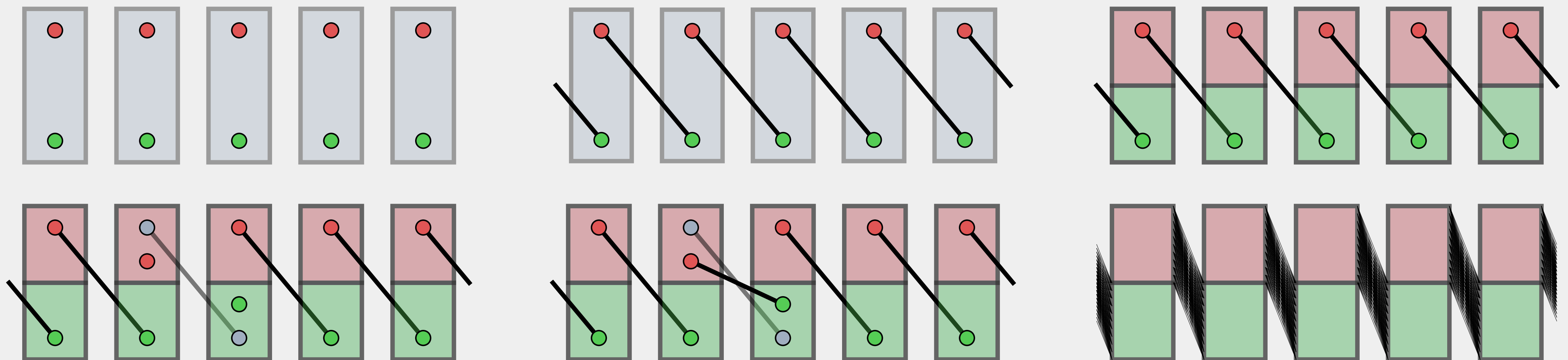
# Lemma

Let  $\mathcal{G} = (G, \mathcal{U})$  a graph  $G$  with  $2\Delta$ -thick partition  $\mathcal{U}$ . Suppose  $S, T$  are independent transversals of  $\mathcal{G}$  that **cannot** be reconfigured to intersect, then  $G \cong |\mathcal{U}| \cdot K_{\Delta, \Delta}$ .

**Proof.** Every  $v \in V(G)$  has exactly one neighbor in  $S \cup T$  because:

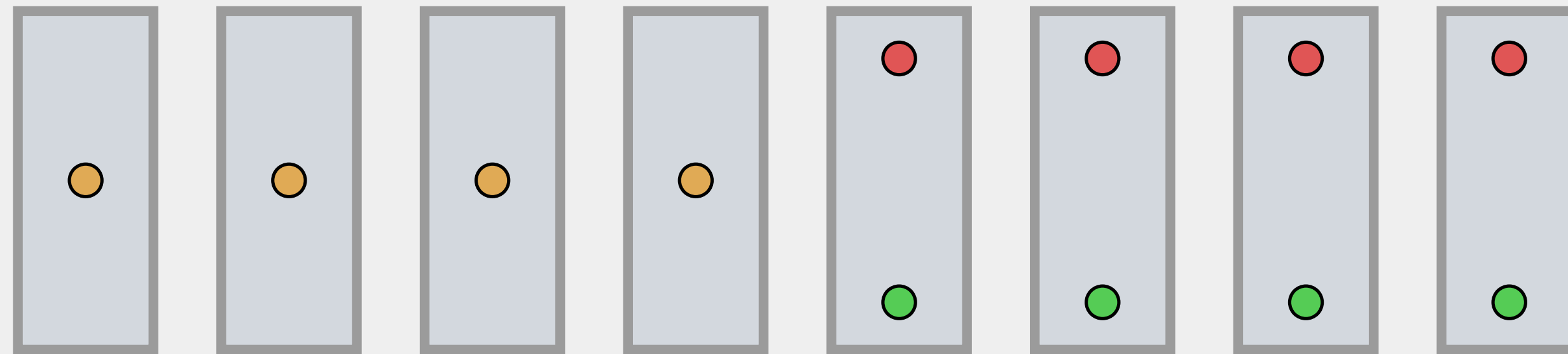
$$2\Delta |\mathcal{U}| \leq |V(G)| \leq \sum_{v \in V(G)} |N_G(v) \cap (S \cup T)| = \sum_{u \in S \cup T} |N_G(u)| \leq \Delta \cdot |S \cup T| = 2\Delta |\mathcal{U}|.$$

So  $V(G)$  can be partitioned into  $N_G(S)$  and  $N_G(T)$ . Take a vertex pair from  $N_G(S)$  and in  $N_G(T)$  in the matched block. Now  $(S, T)$  can be reconfigured to include these, so they must be adjacent. This reveals complete bipartite graphs which must all be  $K_{\Delta, \Delta}$ .

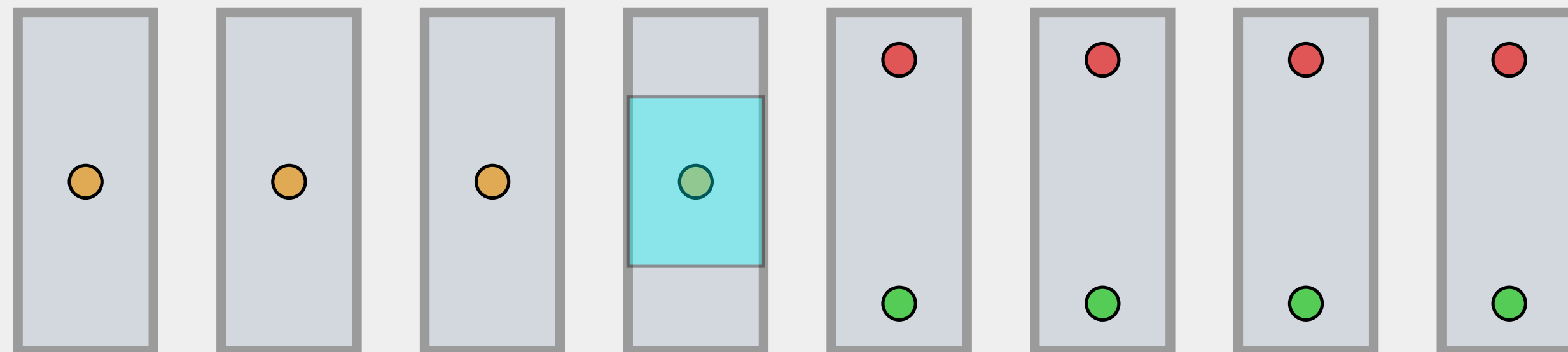


## Proof Sketch

Given  $\mathcal{G} = (G, \mathcal{U})$  a graph  $G$  with  $2\Delta$ -thick partition  $\mathcal{U}$  with  $G \not\cong |\mathcal{U}| K_{\Delta, \Delta}$  and two independent transversals  $S, T$ , we reconfigure them to be **maximally close** to each other.



If unequal a vertex of  $S \cap T$  is contained in a component  $K \cong K_{\Delta, \Delta}$ .



We transform  $\mathcal{G}$  to  $\mathcal{G}' = (G - K, \mathcal{U}')$ . By induction we can reconfigure the projections of  $S$  and  $T$  on  $\mathcal{G}'$  which **corresponds** to a valid reconfiguration on  $\mathcal{G}$ .

The **mixing time** of an ergodic Markov chain with  $P$  with stationary distribution  $\pi$  is

$$t_M = \min \left\{ t \in \mathbb{N} : \max_{\nu} d_{\text{TV}}(\pi, \nu P^t) \leq \frac{1}{4} \right\}.$$

Glauber dynamics is said to **mix rapidly** if  $t_M = \mathcal{O}(\text{poly}(n))$ , where  $n = |V(G)|$ .

## Glauber dynamics for coloring

Glauber dynamics was shown to **mix rapidly** whenever  $q \geq 2\Delta + 1$  [Jerrum; 1995], improved to  $q \geq \frac{11}{6}\Delta$  [Vigoda; 1999], and  $q \geq (1 - \epsilon)\frac{11}{6}\Delta$  [Chen et al.; 2019].

It has been **conjectured** to **mix rapidly** whenever  $q \geq \Delta + 2$ .

## Glauber dynamics for independent transversals

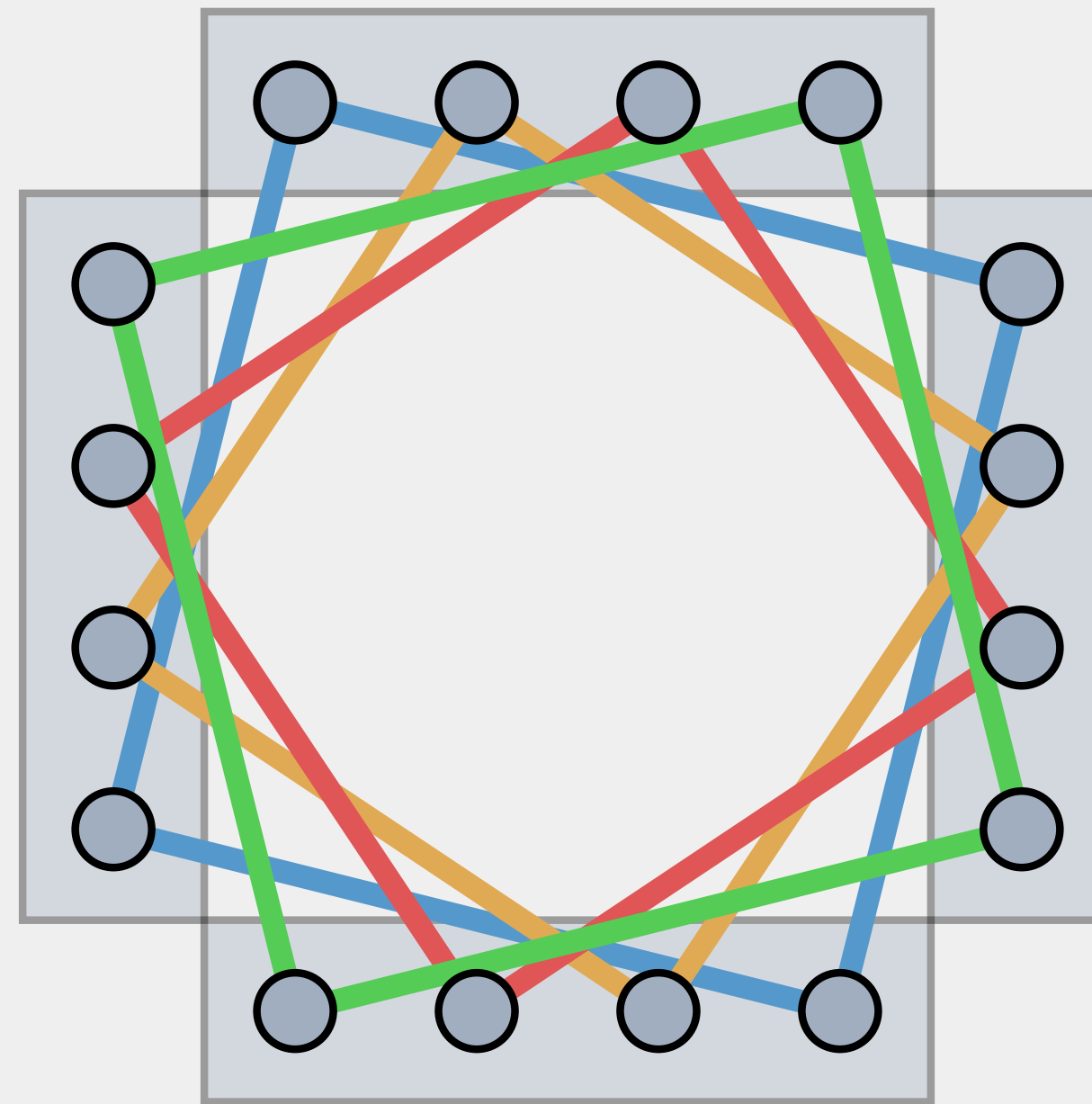
**Question.** Is there any constant  $c > 2$  such that Glauber dynamics of ITs **mixes rapidly** on pairs  $(G, \mathcal{U})$  where  $\mathcal{U}$  is  $(c\Delta)$ -thick?

## Question - Characterization

**Our theorem.** For irreducible  $\mathcal{G} = (G, \mathcal{U})$ , graph  $G$  with  $\mathcal{U}$  a  $2\Delta$ -thick vertex-partition:

$$G \not\cong |\mathcal{U}| \cdot K_{\Delta, \Delta} \Rightarrow R_{\mathcal{G}} \text{ connected.}$$

The converse **does not** hold.

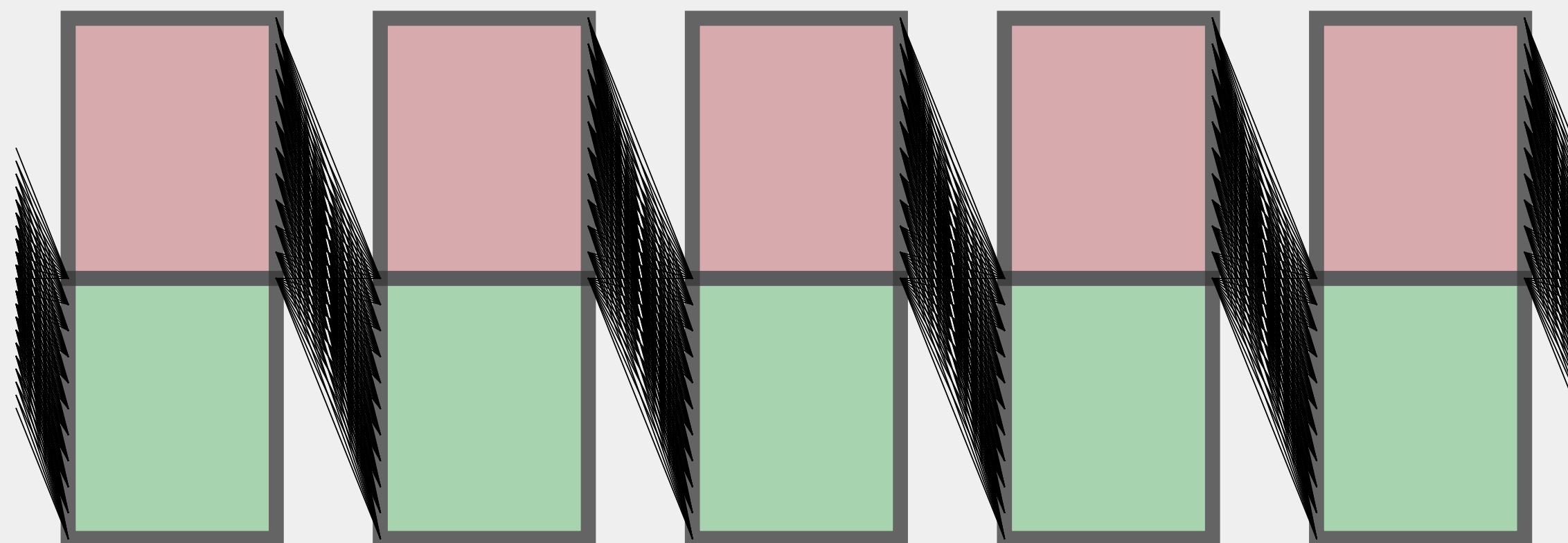


**Question.** Can we characterize **exactly** those  $\mathcal{G}$  for which  $R_{\mathcal{G}}$  is disconnected?

## Question - Extremality

**Question.** What are the configurations of pairs  $(G, \mathcal{U})$  of graphs with  $2\Delta$ -thick vertex-partition that have the **fewest** independent transversals?

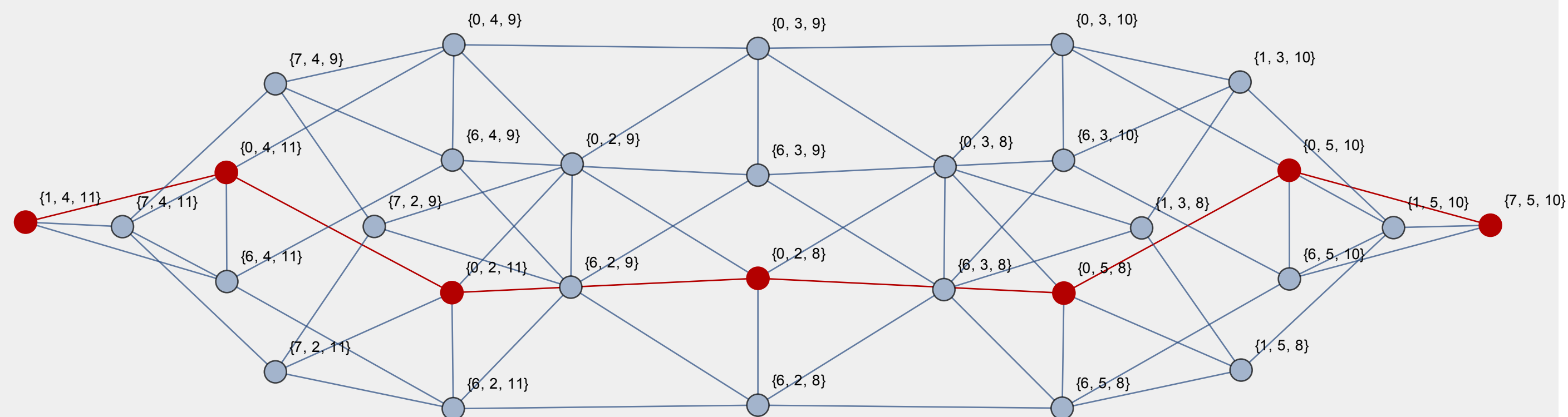
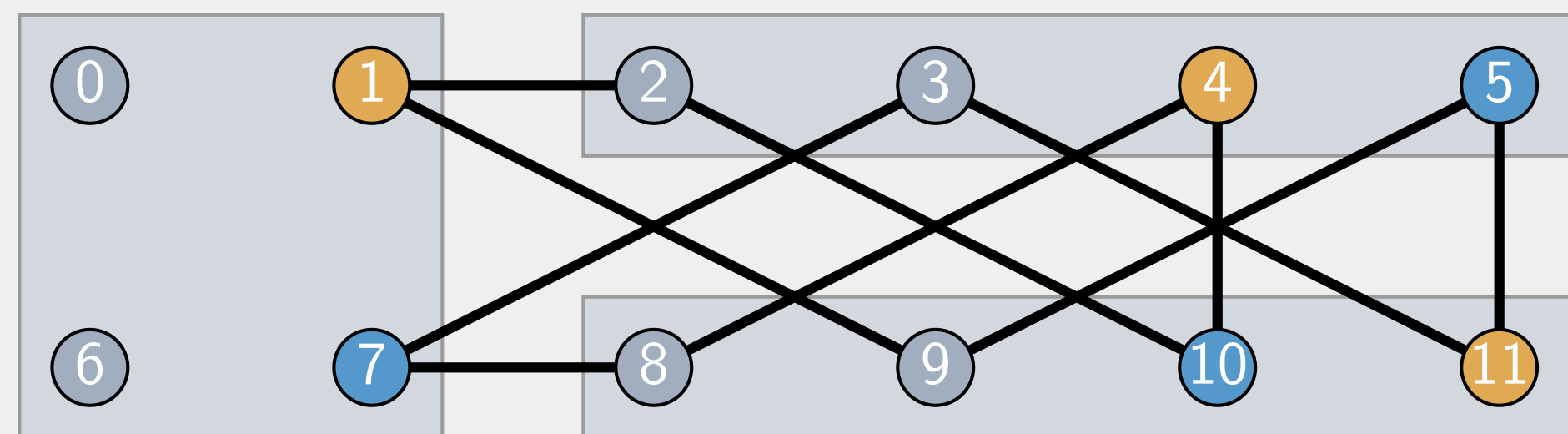
**Conjecture.** We think the following is a natural candidate for being extremal.



It has  $2\Delta^{|\mathcal{U}|}$  distinct independent transversals.

# More Questions

Q. Can we give an upper bound for the diameter of  $R_{\mathcal{G}}$  as a function of  $|\mathcal{U}|$  and  $\Delta$ ?



Q. Haxell's Theorem for the existence of independent transversals for  $(2\Delta)$ -thick has a topological proof. Can our result be proven in a similar way?

Q. There are several structures **between** (list)-coloring and independent transversals, e.g. bounded color-degree or bounded local-degree. What about connectedness of  $R_{\mathcal{G}}$  for those problems (in the existence regime)?

Q. Does a fast approximate uniform sampler of ITs imply an FPRAS for counting ITs?

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